

# Cross-Layer Interactions and Optimizations in Wireless Networks

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Joint work with Vivek Mhatre (now at Intel, UK), Sunil Kulkarni (now at Google, USA), Jeongjoon Lee (now at LG, Korea), and Ravind Iyer (Purdue).

## Outline

- ◆ Introduction: wireless vs. wireline
- ◆ Cross-layer integration: a necessity but also a challenge
- ◆ Examples in single hop networks
  - Cellular networks: inter-cell interference
  - WLAN: power saving mode
- ◆ Examples in multi hop networks
  - Let's first talk about MAC
  - Sensor networks: an address-light, integrated MAC and routing protocol
  - Sensor networks: optimal routing and link scheduling
  - Ad hoc networks: capacity
- ◆ Conclusions





## Wireless vs. Wireline Networks

- ◆ **Wireline systems**
  - Reliable channel and very high capacity
  - Core router: Gbps - Tbps
  - Requirement: **simplicity** and **scalability**
- ◆ **Wireless systems**
  - Limited natural resource (spectrum) → requirement: **spectrum efficiency**
  - **Shared channel** → requires elaborate **MAC protocol**
  - Difficult channel:
    - **Channel attenuation**: wireless signal power is subject to path loss, location dependent shadowing, time-varying fading, all of which attenuate the signal
    - **Additive interference**: wireless signals can be decoded and received at acceptable error rates only if the signal-to-interference-and-noise ratio (SINR) is adequate
  - **Limited device capabilities** (often): Finite battery energy, possibly low processing power

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## Why Wireless Networking?

- ◆ *Ease of deployment* (often coupled with energy issues)
- ◆ Support of *mobility*
- ◆ *On-demand, seamless* connectivity between *individuals* and their *environment*
  - *On-demand*: connection should be available whenever there is a need for it
  - *Seamless*: connectivity should be maintained despite mobility and wireless channel variations
  - *Individuals*: are users equipped with wireless devices such as laptops, cell-phones or PDAs
  - *Environment*: includes homes, offices, manufacturing facilities, farms, hospitals, all possibly equipped with wireless-capable sensors and actuators

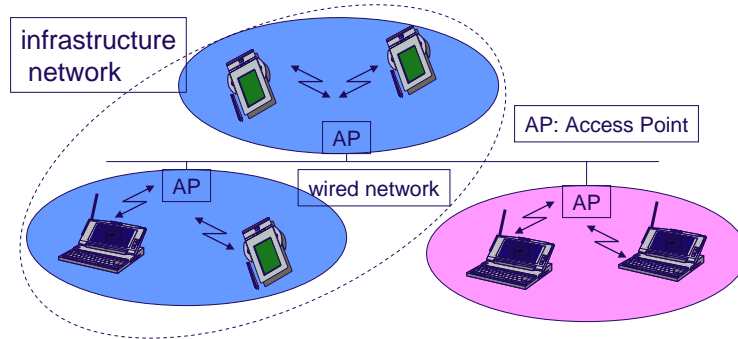
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## Current Wireless Networks

### ◆ Single hop:

- Cellular Networks: voice and data services, excellent coverage, great penetration
- Wireless LANs: data services, Wireless LAN “hotspots” used in campuses, coffee shops, airports
- Wireless PANs: wireless keyboard, mouse, headphones, etc



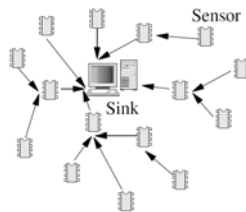
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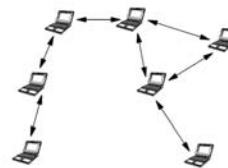
## Current Wireless Networks

### ◆ Multi-hop: distributed, no infrastructure

- Sensor Networks:
  - Application specific networks of wireless nodes
  - Mainly deployed for distributed monitoring of a signal of interest
  - Objective is collaborative rather than individual
  - Many-to-one data flow
- Ad Hoc Networks:
  - An ad-hoc network has no specific task except communication
  - Individual nodes have their own objectives
  - Any-to-any data flow



A Sensor Network



An Ad Hoc Network

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## Tension between Performance and Architecture

- ◆ Success of Internet is due to its architecture
  - Hierarchy of layers
  - Peer-to-peer protocols
  - Allows plug-and-play
  - Longevity
  - Important for proliferation of technology
- ◆ Performance: The short term vision
  - “Putting a link between layer A and layer B can improve performance by x%”
  - Consequences of this approach
    - Spaghetti code
    - Not modular
    - Not upgradeable
    - No longevity
    - High per unit cost: Value of a communication medium = Number of adoptees
- ◆ Architecture: The long term view
  - Mass production = Reduced cost over long term
- ◆ Tension between Performance and Architecture

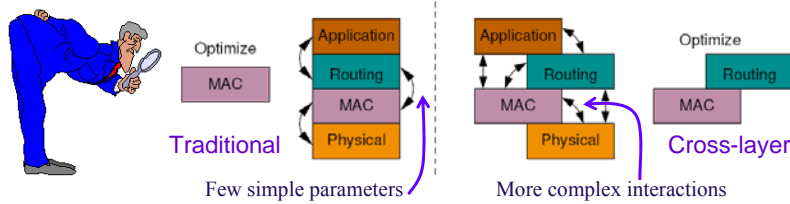
*This slide is courtesy of P.R. Kumar*

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## Cross-layer Interactions and Integration

- ◆ Traditional (wired) network design follows *layering*; each layer optimized *separately*; no cross-layer integration
- ◆ Examples of cross-layer interactions in wireless:
  - Data-rate supported by a wireless link depends on interference (which depends on traffic at neighbors)
  - “Best” set of routes depends on current wireless link characteristics
- ◆ Cross-layer design can take *advantage* of these interactions
- ◆ Cross-layer design allows *integration* of layers; protocol functions can be *jointly* optimized



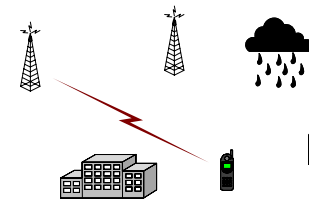
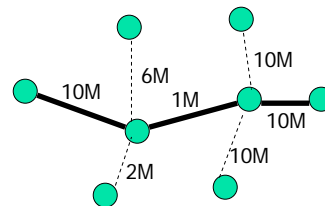
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## Wireless Networks: A More Complex Interaction Between Layers

Cross-layer solutions are necessary because of the difficulty in summarizing the lower layers

- ◆ *Wireline networks:*
  - Single value can be used to summarize the capacity of a link
  - This value can be used by higher layers (e.g., used by transport layer for congestion control or used for routing)
- ◆ *Wireless systems:*
  - Bandwidth/capacity no longer a fixed constant
  - Interference
  - Time-varying channel condition (e.g., mobility and fading)
  - No easy way for the higher layer to describe functioning of lower layers
- ◆ This affects routing, scheduling, congestion control, etc.



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## Questions without Answers

- ◆ What are the consequences of cross-layer integration?
- ◆ What is the longevity of the solutions?
- ◆ What is the reusability of the solutions?

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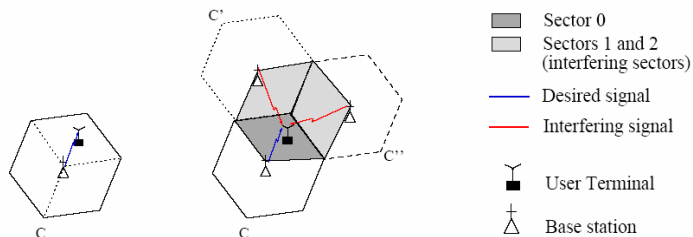
## Areas for Cross-Layer Integration

- ◆ TCP modifications for energy efficiency
- ◆ Adaptive power MAC protocols
- ◆ Opportunistic Scheduling
- ◆ **Power saving mode and scheduling**
- ◆ **Inter-cell interference, SINR estimation, and scheduling**



## Cellular Inter-cell Interference

- ◆ CDMA-HDR like system, one user served at a time over forward link
- ◆ **Inter-cell interference** from (usually 2) base stations of adjacent cells
- ◆ Interfering signals are the forward link signals of the neighboring cells
- ◆ The higher the **network load** in the neighboring cells, the higher the **interference**, and vice-versa
- ◆ **Cross-layer Problem:**
  - Characterize interference as a function of interfering network load
  - Use this relationship for better channel estimation, which is used in turn for scheduling and retransmission





## Cellular Inter-cell Interference

- ◆ Base station transmits a pilot signal periodically
- ◆ Terminal measures the SINR of the pilot signal, predicts SINR in the next slot, sends estimation to base station
- ◆ Base station serves terminal at a rate corresponding to the predicted SINR
- ◆ All the base stations are GPS synchronized, and transmit pilots **synchronously**
- ◆ During pilot measurement, interfering signals are **continuously** present
- ◆ During actual data transfer, interfering signals are present **intermittently**

Current SINR estimation based on pilot measurement (**Scheme A**)

$$\text{Pilot SINR} = \frac{G_0^2 A^2 T_c^2}{2N_0 + \frac{1}{3}G_1^2 A^2 T_c^2 + \frac{1}{3}G_2^2 A^2 T_c^2}$$

Scheme A over-estimates interference, i.e., under-estimates SINR.

**Can we do better?**

$$\text{Actual SINR} = \frac{G_0^2 A^2 T_c^2}{2N_0 + \frac{1}{3}G_1^2 A^2 \rho_1 T_c^2 + \frac{1}{3}G_2^2 A^2 \rho_2 T_c^2}$$



## Results

- ◆ Simulate channel from each base station and terminal with:
  - Path loss
  - Time-varying log-normal shadowing
  - Time-varying Rayleigh fading
- ◆ Multi-slot packets and **Hybrid-ARQ**
- ◆ Terminal type: Pedestrian (3 Kmph)
- ◆ Simulation parameters taken from CDMA-HDR system settings.

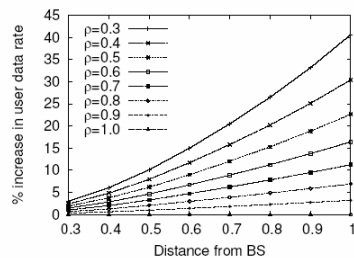


Figure: Pedestrian Path Loss Model

The improved SINR estimation scheme builds on top of current scheme, it requires

- ✓ **Traffic load measurement on BS**
- ✓ **Add messaging from BS to term**

Results in more accurate, and higher SINR estimates

Results in **higher throughput**, mostly for users dominated by interference

- ✓ Terminals located near cell boundary
- ✓ Vehicular users





## In summary

- ◆ **Cross-layer interaction:** information from network layer (network load) to better estimate a physical layer parameter (SINR) which is used by the base station during opportunistic scheduling.
- ◆ **Trade-off:** more signaling between base stations, need to measure loads for better efficiency and fairness.

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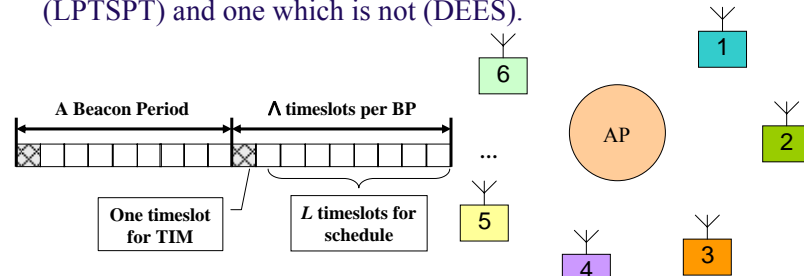
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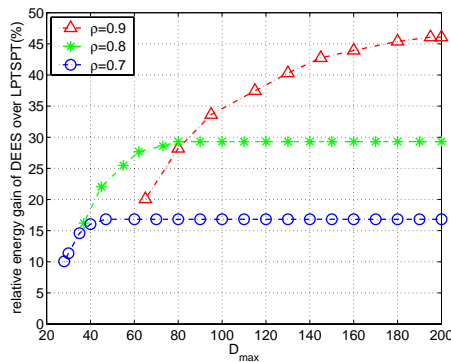
## WLAN: Power Saving Mode

- ◆ For WLAN, IDLE mode power consumption is significant.
- ◆ Need to avoid wasting power in IDLE mode.
- ◆ How to? → Put the wireless interface in SLEEP mode whenever possible.
- ◆ We focus on the downlink, we try to schedule packets so as to minimize total energy while respecting a constraint on mean delay.
- ◆ We propose 2 heuristics, one which is work conserving (LPTSPT) and one which is not (DEES).



## WLAN: Power Saving Mode

If we optimize the system, i.e., we choose the best beacon period duration for each heuristic and for each pair  $(\rho, D_{\max})$ , then the non-work conserving scheduling does better most of the time (i.e., as long as the delay constraint is not too tight).





## In summary

- ◆ **Cross-layer interaction:** information on energy status to be taken into account by base station during scheduling.
- ◆ **Trade-off:** more complexity and more signaling (TIM), need for a beacon period, multiple users with different objectives.

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## Areas for Cross-Layer Integration

- ◆ Several suggestions for cross-layer design
  - Transmit power based routing
  - Battery life based routing
  - Traffic based sleeping strategies
  - TCP modifications for energy efficiency
  - Routing for improving network lifetime
  - Adaptive power MAC protocols
  - QoS schemes based on routing and MAC parameters
  - **MAC and routing**

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## Let's First Talk About MAC

- ◆ Central to all multi-access wireless networks is the MAC protocol.
- ◆ In single hop networks, MAC is well understood, not in multi-hop.

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## MAC Protocols: Two Functional Components

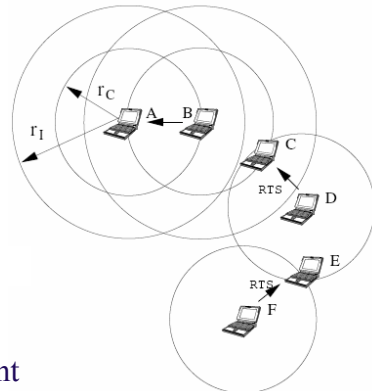
- ◆ Useful to separate MAC protocols into two functional components
- ◆ **Collision avoidance**
  - Uses protocol handshakes via control messages and/or busy-tone signals
  - Goal is to *reserve* the channel for the duration of the data transmission
  - Example: **RTS/CTS** exchange in IEEE 802.11
  - Responsible for **efficiency**; poor collision avoidance can lead to
    - High number of data packet collisions
    - Poor overall throughput
- ◆ **Contention resolution**
  - Uses mechanisms such as persistence and/or backoff
  - Goal is to tune the aggressiveness with which nodes attempt to *access* the channel
  - Example: **BEB** mechanism in IEEE 802.11
  - Responsible for **efficiency** and **fairness**; poor contention resolution can lead to
    - High number of control packet collisions
    - Unfairness between flows, and between links

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## MAC Protocols for Multi-hop Wireless Networks

- ◆ Collision Avoidance: Problems
  - Hidden Terminal
  - Deaf Terminal
  - Exposed Terminal
  - Link Layer Congestion
- ◆ Desirable collision avoidance features
  - Perfect Collision Avoidance
  - No Link Layer Congestion
  - Link Layer Acknowledgement
  - Full Spatial Reuse



Problems with Collision Avoidance (assuming fixed communication and interference ranges)



## Collision Avoidance: Impact of Problems

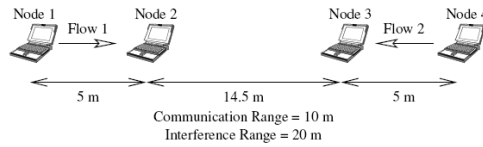
- ◆ Evaluation Methodology
  - Table 1 compares different protocols based on desirable collision avoidance features
  - Event-driven simulations for different protocols
  - Use throughput as a metric
  - We do not propose a new protocol; only an objective evaluation

Table 1

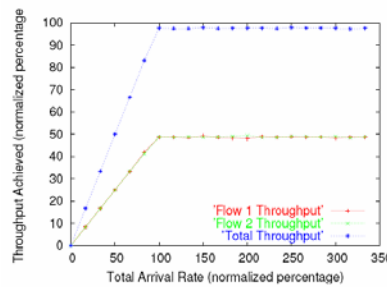
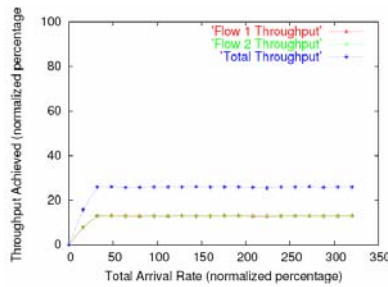
Protocols	DUCHA	RI-BTMA	802.11
Features			
Perfect Collision Avoidance	Yes	Yes	No
Maximum Spatial Reuse	Yes	Yes	No
Link Layer Acknowledgement	Yes	No	Yes
No Link Layer Congestion	Yes	No	No



## Collision Avoidance: Impact of Problems (contd.)

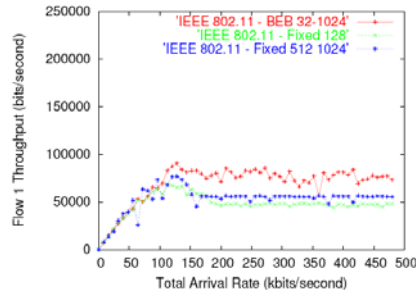
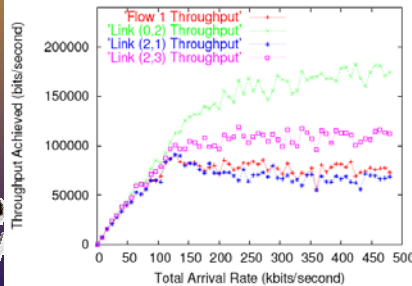
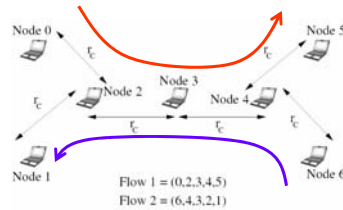


- ◆ Throughput vs. Arrival Rate for IEEE 802.11 (left) and DUCHA (right)



## Impact of Contention Resolution

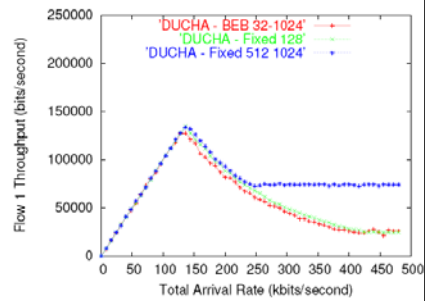
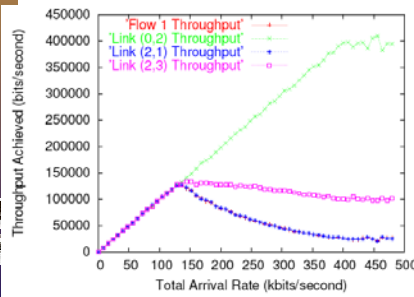
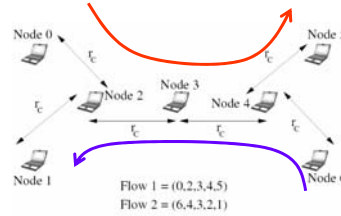
- ◆ IEEE 802.11 Throughput
  - for different links (left)
  - for different contention resolution schemes (right)





## Impact of Contention Resolution (contd.)

- ◆ DUCHA Throughput
  - for different links (left)
  - for different contention resolution schemes (right)

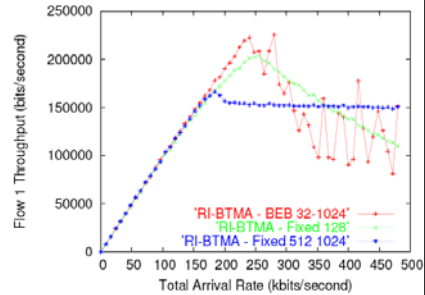
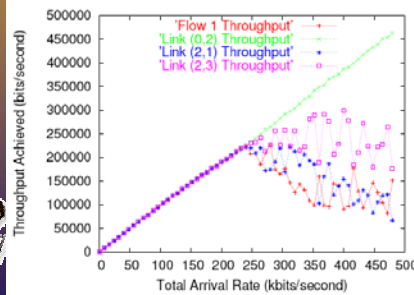
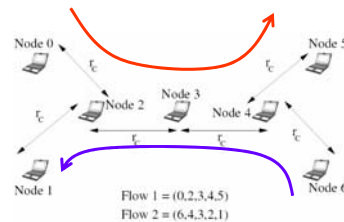


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## Impact of Contention Resolution (contd.)

- ◆ RI-BTMA Throughput
  - for different links (left)
  - for different contention resolution schemes (right)



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## Evaluation of MAC Protocols: Summary

- ◆ Achieving **perfect collision avoidance** (or in practice, close to perfect) is extremely important
  - IEEE 802.11 showed a lot of throughput degradation
- ◆ **Link layer congestion** may be relatively insignificant, provided perfect collision avoidance is achieved
  - RI-BTMA showed very good performance
- ◆ Designing the right **contention resolution** is very important
  - DUCHA achieves less throughput than IEEE 802.11, if coupled with bad contention resolution
  - Optimal routing and link scheduling (coming up) may be a guideline for designing contention resolution schemes

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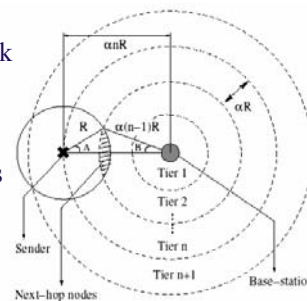
## Sensor Networks for Event Detection

- ◆ **Applications:** Intruder detection, detecting breach of security, detecting anomalies in manufacturing plants, etc
- ◆ **Key Common Feature:** Infrequency of events
  - Network remains idle most of the time
  - On detecting event, report has to reach sink promptly
- ◆ **Design Theme:** save energy in every possible way
  - **Addressing:** assignment and exchange of per-node addresses in a dense network is very expensive
  - **Routing:** data flow is many-to-few; take advantage of it
  - **MAC:** reduce idle-listening; power-saving mode should have little or no coordination or message exchanges
  - **Integrate** MAC and routing
- ◆ **Result:** AIMRP – An Address-light, Integrated MAC and Routing Protocol



## AIMRP: Cross-layer Design and Performance

- ◆ **Lightweight Addressing:**
  - Random ids for MAC; Tier-ids for Routing
- ◆ **Routing Mechanism:**
  - Forwarding towards decreasing tier rank
  - Hop-by-hop routing using anycast querying
- ◆ **Integration with MAC:**
  - RTR – “anycast” message (functions as RTS and route request)
  - CTR following a backoff (multiple possible next-hop nodes)
- ◆ **Power-saving Mode:**
  - Absolutely no coordination among sensors: sleep independently of each other
  - Dimension wake-up frequency to satisfy latency
- ◆ **Performance Summary:**
  - AIMRP:  $E_{hop} = 12.64\text{mJ}$   $E_{report} = 65.22\text{mJ}$   $P_{avg} = 0.74\text{W}$
  - S-MAC:  $E_{hop}^S = 23.37\text{mJ}$   $E_{report}^S = 65.44\text{mJ}$   $P_{avg}^S = 4.13\text{W}$





## In summary

- ◆ **Cross-layer interaction:** Combining addressing, routing, power saving mode, and MAC for energy efficiency. A completely integrated solution.
- ◆ **Trade-off:** optimized but very application-specific.
- ◆ Difficult to find a benchmark to compare against.

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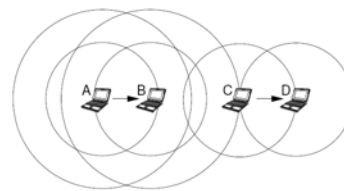
## Sensor Networks for Data Gathering

- ◆ **Aim:** To design a routing and link scheduling algorithm to maximize the lifetime of a data-gathering sensor network
- ◆ **Applications:** Habitat monitoring, monitoring of weather conditions, collecting data about crops or livestock, etc
- ◆ **Key Common Features:**
  - Constant flow of data from sensors to sink(s)
  - Loose latency constraint on an individual data unit
- ◆ **Design Challenges: cross-layer interactions**
  - Optimal routing depends on link capacities
  - Link capacities depend on link scheduling because of interference
  - Link scheduling has to satisfy flow conservation which depends on the routing
- ◆ **Our Approach:**
  - Network flow optimization framework
  - Routing and link scheduling via dual decomposition

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## Models and Algorithm



Links (A,B) and (C,D) contend with each other

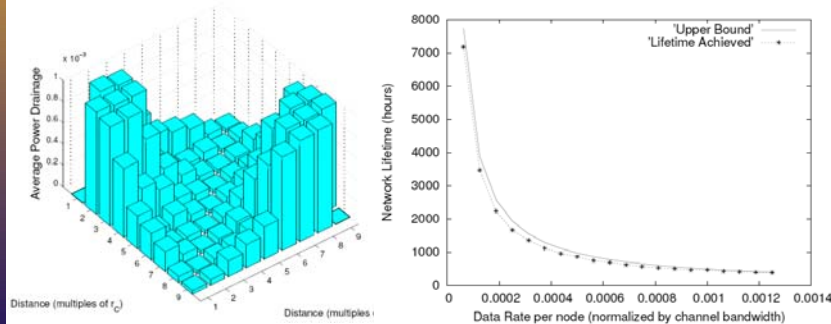
- ◆ **Algorithm:**
  - During the control subslot, a **contention-free set of links** is activated to maximize  $\sum_{l \in \mathcal{L}} \lambda_l w_l$  where  $w_{(j,n)} = q_j - q_n - e_{tx} \epsilon_j - e_{rx} \epsilon_n$  where  $q_n$  is related to the queue length and  $\epsilon_n$  to the energy consumed at node  $n$
  - This problem is NP-hard; we use a greedy heuristic
  - During the data subslot, the activated links communicate data
- ◆ **Insights:** Algorithm illustrates the importance of –
  - **Multi-hop routing** to evenly distribute relaying burden
  - **Spatial reuse** *i.e.*, scheduling contention-free links in parallel
  - **Priority** to back-logged links; **discouraging** energy-depleted ones

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## Simulation: Two Sink Grid Topology

- ◆ 81 (9x9) grid topology with 2 sinks at opposite corners
- ◆ Average power drainage of different nodes (left)
- ◆ Lifetime achieved as a function of per node rate of sensor traffic arrivals (right)



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## In summary

- ◆ **Cross-layer interaction:**
  - Routing and link scheduling are tightly coupled; packet forwarding decisions are taken per-slot via link activation
  - Information about **network** traffic (captured by  $q_n$ ) and **device** energy levels (captured by  $\epsilon_n$ ) is used for scheduling
- ◆ **Trade-off:**
  - Control messages required to exchange information and achieve link scheduling

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## Capacity of Ad Hoc Networks: Related Work

- ◆ n nodes deployed randomly and uniformly over fixed area
- ◆ Random source-destination pairs
- ◆ Limited transmit power → multi-hopping
- ◆ Observation: Relaying load lowers network capacity

**Main Result** [Gupta, IEEE Trans. Info Theory, 2000]

Per node capacity of a random ad hoc network is  $\Theta\left(\frac{1}{\sqrt{n \log n}}\right)$ , and this bound can be **achieved**.

- ◆ Assumptions: Assume PER is 0 if  $\text{SINR} < \beta$



- ◆ Even with  $\text{SINR} \geq \beta$ ,  $\text{PER} \neq 0$  on each link! Do the capacity results change under such a link layer model?





## Capacity of Random Ad Hoc Networks under a Realistic Link Layer Model

- ◆ Throughput is  $\mathcal{O}\left(\frac{1}{n}\right)$  and not  $\Theta\left(\frac{1}{\sqrt{n \log n}}\right)$
- ◆ **Moral of the story:** Besides relaying load, cumulative PER is also important in determining the capacity of large multi-hop networks
- ◆ Can we do better? YES
- ◆ How? Use reduced spatial reuse, i.e.,  $K_n$  colors instead of  $K$  colors, and  $K_n \rightarrow \infty$
- ◆ Then throughput scales as  $\Theta\left(\frac{1}{K_n \sqrt{n \log n}}\right)$

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## In summary

- ◆ **Cross-layer interaction:** Impact of link layer (cumulative packet loss) on network layer (capacity) .
- ◆ **Trade-off:** none since this is an "off-line" computation. This is just a better model giving us better insights.

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## Outline

- ◆ Introduction: wireless vs. wireline
- ◆ Cross-layer integration: a necessity but also a challenge
- ◆ Examples in single hop networks
  - Cellular networks: inter-cell interference
  - WLAN: power saving mode
- ◆ Examples in multi hop networks
  - Let's first talk about MAC
  - Sensor networks: an address-light, integrated MAC and routing protocol
  - Sensor networks: optimal routing and link scheduling
  - Ad hoc networks: capacity
- ◆ Conclusions

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## The Cross-layer Integration Challenge

- ◆ Cross-Layer integration needed to improve *efficiency*
  - ◆ Layers are coupled
    - Potential loss of *modularity*
    - Could lead to complex and fragile overall design
  - ◆ Longevity issue
    - Short term versus long term perspective
  - ◆ Interactions: warning!
    - Layers can interact
    - Loops can be formed
- ➔ be careful before leaping into cross-layer design

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## To obtain papers

Please go to my web site at:  
[www.ece.uwaterloo.ca/~cath](http://www.ece.uwaterloo.ca/~cath)



**THANK YOU!**

